

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. Not yet assigned	ATTORNEY DOCKET NO. TUC920030091US1
	FILING DATE September 5, 2003	GROUP ART UNIT
	APPLICANT(S): Gregory T. Kishi	

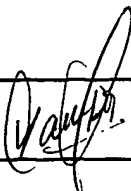
REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
YC	A1	5,907,672	05/25/1999	Matze et al.	395/182.06	10/04/1995
YC	A2	5,926,834	07/20/1999	Carlson et al.	711/152	05/29/1997
YC	A3	5,963,971	10/05/1999	Fosler et al.	711/114	10/09/1997
YC	A4	6,105,037	08/15/2000	Kishi	707/201	12/12/1997
YC	A5	US 6,269,423	07/31/2001	Kishi	711/113	05/13/1998
YC	A6	6,282,609	08/28/2001	Carlson	711/112	08/17/2000
YC	A7	US 6,339,778	01/15/2002	Kishi	707/200	03/24/2000
YC	A8	US 6,507,883	01/14/2003	Bello et al.	711/4	10/23/2000
YC	A9	2003/0004980	01/02/2003	Kishi et al.	707/204	06/27/2001


FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO
YC	A10	JP200218252	10/23/00	Japan	G06F3/06		
YC	A11	EP1202159A2	10/19/01	European	G06F3/06		

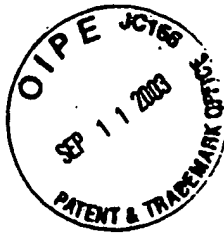
EXAMINER 	DATE CONSIDERED 10/11/05
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

EXAMINE R INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
YC	A12	High Performance File System For Supercomputing Environment, H. Nishino, S. Naka, K. Ikumi; 1989
YC	A13	The IBM Virtual Tape Server: Making tape controllers more autonomic, G. T. Kishi; IBM J. Res & Dev. Vol 47 No. 4 July 2003

EXAMINER		DATE CONSIDERED	10/11/05
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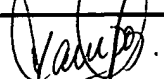
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO

NON-PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
yc	A1	Virtual Tap Servers A Foundation for Growth, John McArthur, www.idc.com
yc	A2	Autonomic Coumputing, by W. Wayt Gibbs, scia.com, 6/25/2003

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